

# Revisiting competence & performance

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# Introduction

Chomsky (1965):

**Competence** system of rules describing idealized knowledge of language

**Performance** language behavior affected by ambiguity, errors, reaction times, frequency effects

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Scha (1990):

- ▶ Difficult to write descriptively adequate grammar by hand.
- ▶ Problem of ambiguity; need to know relative plausibility of analyses.

Ergo, we need “performance-models of language (...), “which take into account statistical properties of actual language use.”

# Traditional parsing approach

1. Pick a grammar with the right linguistic & computational properties (**competence**)
2. Add a probabilistic disambiguation component (**performance**)
3. Apply pruning if necessary (**performance**)
4. Evaluate quality of model (**performance**)

# Formal language theory

## Definition

A *formal grammar* characterizes a language as a set of sentences and their structures.

Chomsky hierarchy:

**Type 0: Unrestricted:** Model-Theoretic Syntax, e.g., HPSG

**Type 1: Context-Sensitive:** Mildly Context-Sensitive, e.g.,  
TAG, CCG, LCFRS

**Type 2: Context-Free:** PCFG, proj. dependency grammar

**Type 3: Regular:** finite-state technology

# Grammar transformations

Capabilities of grammar formalisms can be extended,  
e.g.:

- ▶ Encode information in labels
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Examples:

- ▶ TSG or TIG  $\Rightarrow$  CFG + backtransform table
- ▶ Dependency grammar  $\Rightarrow$  PCFG
- ▶ Discontinuous constituents  $\Rightarrow$  non-projective dependencies
- ▶ ...

# Psycholinguistic Evidence: I

Do humans exploit hierarchical structure during processing?

- No** Frank & Bod (Psy. Sci. 2011): Insensitivity of the human sentence-processing system to hierarchical structure
- Yes** van Schijndel & Schuler (NAACL 2015): Hierarchic syntax improves reading time prediction



## Psycholinguistic Evidence: II

### Center-embedding:

- ▶ Example: *A man that a woman that a child knows loves (just walked in)*
- ▶ Hard for humans, natural for CFG
- ▶ Karlsson (2007): only occurs up to depth 3 in written language, depth 2 in spoken lang.

## Psycholinguistic Evidence: II

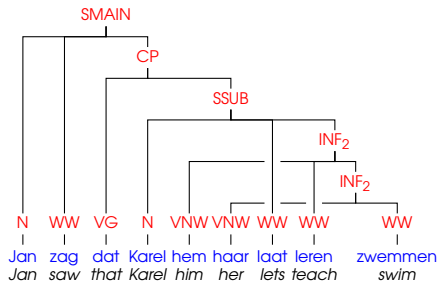
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### Cross-serial dependencies:

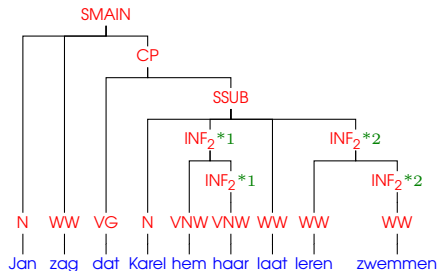
- ▶ Example: *Jan zag dat Karel hem haar laat leren zwemmen*  
(Jan saw that Karel him her lets teach swim)
- ▶ Cross-serial dependencies not possible with CFG, but easier for humans than center-embedding:  
Bach et al. (1986) Cross and nested dependencies in German and Dutch: A psycholinguistic study.

# Long-Distance Dependencies



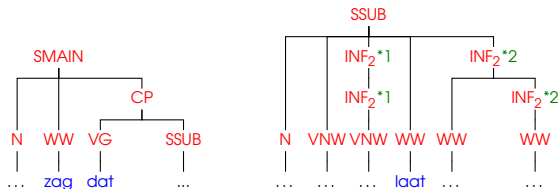
- ▶ Cross-serial dependencies are beyond context-free
- ▶ Can be captured by mildly context-sensitive grammars

# CFG approximation



- ▶ Alternatively, long-distance dependencies can be encoded in the labels

# DOP fragments



- ▶ With DOP tree fragments, complex linguistic phenomena can be captured statistically instead of formally

# Conclusion

- ▶ Performance phenomena play an important role in computational models of language
- ▶ Instead of searching for the right formal grammar, consider how system as a whole copes with
  - ▶ ambiguity
  - ▶ cognitive limitations
  - ▶ linguistic complexity

# References

- ▶ **Noam Chomsky** (1965). *Aspects of the Theory of Syntax*, MIT press.
- ▶ **Remko Scha** (1990). Language theory and language technology; competence and performance, in Q.A.M. de Kort and G.L.J. Leerdam, editors, *Computertoepassingen in de Neerlandistiek*, pp. 7–22. English translation:  
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